

Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
August 2021

Carter Thomas Shaffer

Monocacy Wealth Management, LLC
9099 Ridgefield Dr. Suite 205
Frederick, Maryland 21701
www.monacacywm.com

Firm Contact:
Carter Thomas Shaffer
Chief Compliance Officer

This brochure supplement provides information about Mr. Shaffer that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Shaffer if you did not receive Monocacy Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Shaffer is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD # 6748338.

Item 2: Educational Background & Business Experience

Carter Thomas Shaffer

Year of Birth: 1996

Educational Background:

- 2018: University of Maryland; Bachelors Degree in Finance
- 2016: Frederick Community College; Associates Degree in Business

Business Background:

- 08/2021 – Present Monocacy Wealth Management, LLC; Financial Advisor
- 10/2019 – Present Nylo Realty LLC; Real Estate Agent
- 07/2018 – 08/2019 XML Financial Group; Wealth Advisor
- 01/2017 – 05/2018 Wells Fargo Advisors; Financial Advisors Associate

Exams, Licenses & Other Professional Designations:

- 2021: Real Estate Salesperson; Maryland
- 2020: Real Estate Salesperson; Washington, D.C.
- 2020: Real Estate Salesperson; Virginia
- 2018: Series 65 Exam

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Shaffer.

Item 4: Other Business Activities

Mr. Shaffer is a licensed real estate agent. As such, he may receive normal and customary fees associated with real estate transactions in their independent capacities. These services are separate from our firm's advisory services and are governed under a separate agreement. Clients are under no obligation to engage our representative for these and will not be actively solicited.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 5: Additional Compensation

Mr. Shaffer does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Mr. Shaffer is the Chief Compliance Officer of Monocacy Wealth Management, LLC and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics. Please contact Mr. Shaffer if you have any questions about Mr. Shaffer's brochure supplement at (301) 360-9500.

Item 7: Requirements for State-Registered Advisers

Mr. Shaffer has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.